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Updating the MKF Security Database

Introduction

This document provides instructions for expanding the MKF Security database for existing IBM® FileNet® Image Services customers who plan to upgrade to Image Services 4.1 or later.

This database expansion is required for additional columns that will be automatically added to the MKF Security database when you install Image Services 4.1 or later. The new columns will be used for enhanced user and group security.

Note Image Services systems that are currently running Image Services 4.0 SP5, Image Services 4.0 HP Integrity Edition, Image Services 4.1.0 or later, already contain expanded MKF Security databases and do not require this procedure.

You can perform this update to the MKF Security database several weeks or months before upgrading Image Services.

Document revision history

<table>
<thead>
<tr>
<th>Image Services version</th>
<th>Date</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1</td>
<td>Nov. 2008</td>
<td>Initial release.</td>
</tr>
</tbody>
</table>

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2. Select IBM - Product Documentation for FileNet Image Services from the list of search results.

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The survey will take approximately 30 minutes to complete and must be completed in a single session; there is no option to save a partially completed response.

Starting points

For this MKF Security database upgrade, your Image Services system must already be running one of these Image Services releases:

- **Image Services 3.6 SP3 HFP14 or higher**
- **Image Services 3.6 ESE SP1 HFP14 or higher**
- **Image Services 4.0 SP4 HFP5 or higher**

These releases of Image Services contain an updated version of the SEC_tool, which is used in the following procedure. A new overwrite_all option has been added to the import function of SEC_tool.
Prepare for the update

Image Services 4.0 SP5 introduced a structural change to the sec_db0 and sec_rl0 tables in the MKF Security database to support enhanced user and group security.

Note: This procedure only needs to be performed on the Root/Index server of a multi-server Image Services system.

Verify the current values

To verify your current MKF database configuration settings, launch the configuration editor, fn_edit, and check the following values:

<table>
<thead>
<tr>
<th>Tab</th>
<th>Field</th>
<th>Minimum Value</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Datasets</td>
<td>Sec_db0</td>
<td>64 MB*</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Sec_rl0</td>
<td>64 MB</td>
<td></td>
<td></td>
</tr>
<tr>
<td>MKF databases</td>
<td>Security database Block Size</td>
<td>8 KB</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Performance Tuning &gt; (Server Memory subtab)</td>
<td>Security Buffer Pool Size</td>
<td>2000 (2 MB)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* While 64 MB is the recommended minimum size for sec_db0, you might be able to use a smaller size if space on the hard drive is limited. See “Appendix A – Determining the Ideal Size for SEC_db0” on page 22.

In fn_edit, select the Datasets tab. Are the sizes of sec_db0 and sec_rl0 set to 64 MB or higher?
b Select the MKF databases tab. Is the Security database Block Size set to 8 KB or higher?

c Select the Performance Tuning tab, and then select the Server Memory subtab. Is the Security Buffer Pool Size set to 2000 (2 MB) or higher?

(The Answers)

- If the answer is Yes to all three settings, STOP. Your MKF Security database is ready for the enhanced user and group security provided in Image Services 4.0 SP5. You do not need to continue this procedure.

- If the answer is No to any of the settings above, you need to update the MKF Security database. Continue with the steps in the next section.
Update the MKF Security Database

Back Up the Current SEC_db0

Make sure you have a recent backup of SEC_db0 as a safeguard. Follow the customer's standard backup strategy, such as EBR, to back up SEC_db0 and SEC_rl0.

Remove Old Security Objects (Optional)

You can save time and reduce the size of the export file by removing old security objects from SEC_db0. For example, on a Windows server, you would enter:

**UNIX**

```
MKF_tool :: /fnsw/dev/1/sec_db0
- or -
MKF_tool :: <drive>:\FNSW\DEV\1\SEC_DB0
```

**WIN**

```
<MKF_tool> allowupdates
```

(Enter the appropriate password.)

```
<MKF_tool> count sec_object * where obj_class=4
```

Total of 29266 records counted

```
<MKF_tool> del sec_object * where obj_class=4
<MKF_tool> del sec_groups * where member_class=4
```

Total of 29266 records deleted

```
<MKF_tool> count sec_object * where obj_class=4
```
Log on to the Security System

UNIX Server Users Logon

To log on to FileNet security from the command line, enter:

```
fnlogon
```

The fnlogon command gives the current command line shell security access. If you switch to another shell, you need to run fnlogon from the new shell.

**Tip**

Use Control+d to exit fnlogon at any time.

Enter the commands in the following sections at the fnlogon prompt.

Windows Server Users Logon

Start the FileNet Application Executive by selecting it from the Start menu path Programs > FileNet Image Services Server Applications.

Log on as a user with administrative privileges, such as SysAdmin. Then enter the commands in the following sections in a command prompt window.
Export the database

Since you'll be expanding the MKF Security database you need to export your current SEC_db0 database. Enter:

```
SEC_tool
SEC_tool> export <filename>
```

where `<filename>` is the name of the file you want the security data exported to. You can choose any file name you wish, such as SECexp, for example.

**Important** Do not make any changes to any user, group, or device security between the database export and the import.

Reconfigure the system configuration parameters

As the FileNet user, such as `fnsw`, launch `fn_edit`, and make the following modifications, if necessary:

- On the MKF databases tab, change Security database Block Size to 8 KB.

- On the Datasets tab, change sec_db0 and sec_rl0 sizes to a minimum of 64 MB. See “Appendix A – Determining the Ideal Size for SEC_db0” on page 22.

**Tip** Although the minimum sizes of both sec_db0 and sec_rl0 are the same, sec_db0 is typically two or three time larger than sec_rl0.

- On the Performance Tuning tab, select Server Memory.
- Set the Security Buffer Pool Size to **2000 (2 MB)**

Exit from fn>Edit and save your changes.

**Stop the Image Services software**

As the FileNet user, such as **fnsw**, stop all Image Services processes by entering:

- **UNIX**
  - killfnsw -DAy

- **WIN**
  - killfnsw -D -y

**Rebuild the system configuration files**

Still as **fnsw** user, enter the following command to build the system configuration files:

- **fn_build -a**

**Increase the logical volume size**

To make sure there is enough space for the expanded Security database, use the appropriate tools for your platform to verify and, if necessary, increase the volume size.

**Tip**

The steps in the following sub-sections are typical. They might vary slightly for your version of a specific operating system.
For AIX/6000 servers

On AIX servers, use SMIT to verify and set the volume size.

1 In SMIT, choose System Storage Management (Physical & Logical Storage) ➔ Logical Volume Manager ➔ Logical Volumes ➔ Set Characteristics of a Logical Volume ➔ Increase the Size of a Logical Volume.

2 Click List and select fn_sec_db0.

3 At the prompt Number of ADDitional Logical Partitions (NUM) enter the number of logical partitions that will bring the total size of fn_sec_db0 to 64 MB (or whatever size you entered in fn_edit.)

Tip To determine the size of the logical partitions on your server, enter:

```bash
lsvg <volume_group>
```

The resulting display shows both logical partitions (LP) and physical parititions (PP).

4 Repeat these steps to increase the size of fn_sec_rl0.

5 Click OK. (or press the Return key).

6 Skip to “Initialize the Security Database” on page 18.

For HP-UX servers

On HP-UX servers, use SAM to verify and set the volume size.

1 In SAM, select Disks and File systems > Logical Volumes.
Updating the MKF Security Database
Update the MKF Security Database

2 Select fn_sec_db0.

3 From the Actions menu, select Increas Size.

4 At the prompt New Size (Mbytes), increase the total size of fn_sec_db0 to 64 MB (or whatever size you entered in fn_edit). Click OK.

5 Repeat steps 2 through 4 to increase the size of fn_sec_rl0.

6 From the File menu, select Exit and continue until you are completely out of SAM.

7 Skip to “Initialize the Security Database” on page 18.

For Solaris servers

On Solaris servers, use the Volume Manager (such as Veritas) to make sure the underlying volume size is at least 64 MB.

Note For Sites with Disk Arrays ONLY: Disk arrays managed by the Veritas volume management software, do not always expand to an even MB boundary. You should verify the new size, after expanding a volume, by displaying the volume information. The new volume size will display in Kilobytes if it did not expand to an even Megabyte boundary.

Previous installations with a disk array (configured for RAID-5) have determined that the correct procedure for accurately expanding a volume to an even Megabyte boundary is to make the new volume size evenly divisible by seven. It is uncertain if this suggestion is applicable for all disk arrays. (Check the most current version of the Image Services Release Notes for more information.)
Use the Volume Manager (such as Veritas) to increase the size of the appropriate volume(s).

<table>
<thead>
<tr>
<th>Volume Name</th>
<th>Mount Point</th>
<th>Minimum Size</th>
<th>Actual Size You Create</th>
<th>User Name</th>
<th>Group Name</th>
<th>Mode</th>
</tr>
</thead>
<tbody>
<tr>
<td>fn_sec_db0</td>
<td>n/a</td>
<td>64m</td>
<td></td>
<td>fnsw</td>
<td>fnusr</td>
<td>664</td>
</tr>
<tr>
<td>fn_sec_rl0</td>
<td>n/a</td>
<td>64m</td>
<td></td>
<td>fnsw</td>
<td>fnusr</td>
<td>664</td>
</tr>
</tbody>
</table>

As an alternative, you can use the `vxvol` command to adjust the volume size. For example, on a server with Veritas Volume Manager, you might enter a command similar to this:

```
vxvol -o force set len=64m fn_sec_db0
vxvol -o force set len=64m fn_sec_rl0
```

8 Skip to “Initialize the Security Database” on page 18.

For Windows servers

Since Windows Servers do not use logical volumes, you only need to make sure there is enough free space on the appropriate hard drive to contain the updated Security database, plus room for expansion.

**Initialize the Security Database**

1 As `fnsw` user, make sure all Image Services processes have been stopped:

```
initfnsw -y stop
killfnsw -DAy
```
initfnsw -y stop
killfnsw -D -y

2 Reinitialize SEC tables by entering:

   fn_util initsec

   The initialization process only takes a few minutes.

3 View the system event log to make sure the initialization was successful. Enter:

   vl

4 Still as fnsw user, restart the Image Services software by entering:

   initfnsw restart

Import the database

Important As before, make sure you’re logged on to FileNet security through fnlogon (UNIX servers) or through the Application Executive (Windows Servers).

1 Reload your MKF security database by entering:

   SEC_tool

   SEC_tool> import <filename> overwrite_all

   where <filename> is the name of the export file.

   The import process only takes a few minutes.
2 The MKF Security database update is complete and your Image Services system is ready for the user and group security enhancements provided in Image Services 4.1.

About the overwrite_all option

This option was added specifically for use during this MKF Security database upgrade. Using it in other situations could give you unexpected results.

Tip Because this option overwrites ALL security objects in the security database, any changes made since the export will be overwritten by the imported file. See the description of SEC_tool in the Image Services System Tools Reference Manual for more information. To download this document from the IBM support page, see “Accessing IBM FileNet documentation” on page 8.

Back up the system

When the Image Services update is finished, make a complete backup of your system configuration in case something unforeseen occurs. For complete information on making system backups refer to:

- Image Services System Administrator's Companion for UNIX
- Image Services Enterprise Backup and Restore User’s Guide
- Image Services Third-Party Backup/Restore Guidelines

To download these documents from the IBM support page, see “Accessing IBM FileNet documentation” on page 8.

Important It is especially important to make a backup after this update to the MKF Security database. Earlier backups cannot be restored after the database has been modified.
**Return to production mode**

Now that you've finished updating the MKF Security database, you can place your Image Services system back in normal operation. There should be no change in operation or performance at your current Image Services release level.

**Complete the Image Services upgrade**

When the time comes for you to upgrade your Image Services system to Image Services 4.1 or later, the MKF Security database is ready.

The first time you start Image Services after the upgrade, the MKF_update utility automatically creates the new columns in sec_db0 database `sec_object` table and adds three new tables, `sec_rm_config`, `sec_map_prin_to_dn`, and `sec_ce_dom_to_id`.

After Image Services has been upgraded, you can use MKF_tool to display these new tables. For example, to display a description of the columns in the `sec_rm_config` table, you would log in to MKF_tool and enter:

```
<MKF_tool> desc sec_rm_config
```

To display the data in the `sec_rm_config` table, you would enter:

```
<MKF_tool> select sec_rm_config *
```

Exit MKF_tool by entering:

```
<MKF_tool> q
```

**Tip**

See the *Image Services System Reference Guide* for more information on MKF Security database tables and their contents. To download this document from the IBM support page, see "[Accessing IBM FileNet documentation](#)" on page 8.
Appendix A – Determining the Ideal Size for SEC_db0

This appendix provides general guidelines for determining the size of sec_db0 if hard drive space becomes limited.

Requirements

The required MKF Security database and redo log parameters for Image Services 4.0 SP5 and higher are as follows:

- **SEC_db0**:
  - Block size: 8 KB
    (to allow for more than 32 columns in Image Services 4.0 SP5 and higher)
  - File size: 28 MB (absolute minimum size)
    64 MB (recommended minimum size)
    (in multiples of 4)

- **SEC_rl0**:
  - Block size: 8 KB
  - File size: 64 MB (minimum size)

- **Security Buffer Pool**:
  - Size: 2000 (2 MB)

The only parameter that can be adjusted to the available disk space or to the total number of security objects (users and groups) is the SEC_
db0 file size. MKF documentation states it must be at least 28 MB, but how large does it actually need to be?

## Security Database Tables

Since every customer has a different business environment, the calculation method used here is based solely on typical Image Services environments.

The following table describes all records within the SEC_db0 file:

<table>
<thead>
<tr>
<th>Table Name</th>
<th>Approx. Required Size Per Record (bytes)</th>
<th>Number of Records</th>
</tr>
</thead>
<tbody>
<tr>
<td>sec_object</td>
<td>369</td>
<td>anywhere from 1 KB to 100 KB</td>
</tr>
<tr>
<td>sec_system</td>
<td>110</td>
<td>always 1</td>
</tr>
<tr>
<td>sec_deleted</td>
<td>96</td>
<td>not used</td>
</tr>
<tr>
<td>sec_groups</td>
<td>31</td>
<td>number of elements in sec_objects * 3</td>
</tr>
<tr>
<td>sec_functions:</td>
<td>138</td>
<td>few at most</td>
</tr>
<tr>
<td>sec_funcmbr</td>
<td>31</td>
<td>few at most</td>
</tr>
<tr>
<td>sec_namemap</td>
<td>31</td>
<td>few at most</td>
</tr>
<tr>
<td>sec_dbinfo</td>
<td>130</td>
<td>few at most</td>
</tr>
<tr>
<td>sec_rm_config</td>
<td>28</td>
<td>few at most</td>
</tr>
<tr>
<td>sec_map_prin_to_dn</td>
<td>124 (avg dn size = 100)</td>
<td>50% of sec_object elements</td>
</tr>
<tr>
<td>sec_ce_dom_to_id</td>
<td>56</td>
<td>few at most</td>
</tr>
</tbody>
</table>

Record Size calculation is based on the record size + (key fields * 0.66)
Minimum SEC_db0 Sizes

Based on the typical usage described in the previous table, the minimum recommended size for SEC_db0 can be determined by the number of security objects created on your system:

<table>
<thead>
<tr>
<th>SEC_db0 size (MB)</th>
<th>Approximate Number of Security Objects</th>
</tr>
</thead>
<tbody>
<tr>
<td>28</td>
<td>15000</td>
</tr>
<tr>
<td>32</td>
<td>21000</td>
</tr>
<tr>
<td>36</td>
<td>27000</td>
</tr>
<tr>
<td>40</td>
<td>33000</td>
</tr>
<tr>
<td>44</td>
<td>39000</td>
</tr>
<tr>
<td>48</td>
<td>45000</td>
</tr>
<tr>
<td>52</td>
<td>51000</td>
</tr>
<tr>
<td>56</td>
<td>57000</td>
</tr>
<tr>
<td>60</td>
<td>63000</td>
</tr>
<tr>
<td>64</td>
<td>69000</td>
</tr>
<tr>
<td>68</td>
<td>75000</td>
</tr>
<tr>
<td>72</td>
<td>81000</td>
</tr>
<tr>
<td>76</td>
<td>87000</td>
</tr>
<tr>
<td>80</td>
<td>93000</td>
</tr>
<tr>
<td>84</td>
<td>99000</td>
</tr>
</tbody>
</table>
### Minimum SEC_db0 Sizes

<table>
<thead>
<tr>
<th>SEC_db0 size (MB)</th>
<th>Approximate Number of Security Objects</th>
</tr>
</thead>
<tbody>
<tr>
<td>88</td>
<td>105000</td>
</tr>
<tr>
<td>176</td>
<td>210000</td>
</tr>
</tbody>
</table>
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